

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Michael C. Aigen

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: Concurrently

Examiner: Not Yet Assigned

For: A PROCESS FOR GENERATING ENTERPRISE JAVA BEAN  
COMPONENTS FROM AN SQL DATABASE

1c996 U.S. PTO

09/916516

Assistant Commissioner for Patents  
Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. A copy of the listed documents is provided for the convenience of the Examiner

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

This statement shall not be construed as a representation that a search has been made or that no other art than that identified exists.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Respectfully submitted,

Marshall M. Curtis  
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>FS-00534 (02896)</b>		SERIAL NO. <b>N/A</b>	
				APPLICANT(S) <b>Michael C. Aigen</b>			
				FILING DATE <b>Concurrently</b>		GROUP <b>N/A</b>	

1999 U.S. PRO  
 09/916516  
 07/30/99

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,878,418	3/2/99	Polcyn et al.				
	5,701,461	12/23/97	Dalal et al.				
	5,999,944	12/7/99	Lipkin				
	5,970,490	10/19/99	Morgenstern				
	5,737,592	4/7/98	Nguyen et al.				
	5,899,990	5/4/99	Maritzen et al.				

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			R.K. Ege; "Storing Java Objects in Any Database"; Technology of Object-Oriented Languages and Systems; 1999. TOOLS 30 Proceedings; Pgs 312-321
			M. Aleksy et al.; "Interoperability and Interchangeability of Middleware Components in a Three-Tier CORBA-Environment-State of the Art"; Enterprise Distributed Object Computing Conference, 1999. EDOC '99. Proceedings. Third International; pgs 204-214

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>FS-00534 (0289000) (A)</b>	SERIAL NO. <b>N/A</b>
	APPLICANT(S) <b>Michael C. Aigen</b>	
	FILING DATE <b>Concurrently</b>	GROUP <b>N/A</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS	(Including Author, Title, Date, Pertinent Pages, Etc.)
	A. Yang et al.; "Developing Integrated Web and Database Applications Using JAVA Applets and JDBC Drivers"; Proceedings of the 29th SIGCSE Technical Symposium on Computer Science Education, February 26 - March 1, 1998; Pgs 302-306
	S. Brown et al; "Object Design of a Distributed Client/Server System"; Ninth International Workshop on Database and Expert Systems Applications, 1998. Proceedings; pgs 956-977

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.